



Authorised Financial Service Provider, FSP no: 48803, Cat II & IIA

Gauteng: 2nd Floor, 44 Melrose Blvd, Melrose Arch, 2196
Johannesburg Office 010 020 1860

KZN: Ballito Business Park, Ballitoville, 4420
Ballito Office: 032 815 4105

Email: info@makwefm.co.za

CLIENT DUE DILIGENCE QUESTIONNAIRE- Individuals

2. NATURE OF BUSINESS/ SOURCE OF FUNDS

1.1 Intention of investment

Describe the intention of the trading account

1.2 Source of income/ funds

State the source of your income/ funds used for trading account:

Salary Savings Investment Other

Please specify 'Other'

1. SCREENING DECLARATION

2.1 For Domestic prominent Influential Person(s) and Foreign Prominent Public Official(s)

Do you occupy a prominent public official position/ perform a public function at a senior level?
(i.e. Domestic Prominent Influential Persons or Foreign Prominent Public Officials)

Specify Name, Surname and Designation of prominent persons indicated above.

Are you a known close Associate of any of the following prominent persons?

If Yes, please select applicable option below:

DPIP (Domestic Prominent Influential Persons)

FPPO (Foreign Prominent Public Officials)

Specify Name, Surname and Designation of prominent persons indicated above.

2.2 Sanctions list screening

Do you to the best of your knowledge appear on any sanctions list in relation to anti-money laundering (AML)
or counter financing terrorists (CFT) Yes No

Have you been associated with any adverse or negative news involving AML/CFT published on any public
domain Yes No

Initial: _____



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4 PRODUCTS

Select the nature and type of the products and services subscribed to:

Nature Long Term Investment Speculative
Type Equities Derivative Bonds

3 DECLARATION

I hereby declare that the above information provided is true and accurate

Client Signature:

Full name: _____

Authorised Person (if Applicable)

Full name: _____

SIGNED AT _____ ON THIS ____ DAY OF _____ 20____